

# Arctera Data Risk Assessment

Proactive protection for financial services data.

In the financial services industry, your data is an invaluable asset—but it also comes with significant risks. With growing cyber threats and evolving regulatory requirements, it's essential to proactively manage and secure your data. Arctera's Data Risk Assessment helps you quickly identify and address risks, ensuring your data is secure, compliant, and optimized for long-term success.

## Why Ongoing Data Risk Assessment is Crucial

Organizations face increasing pressure to meet regulatory requirements, which mandate firms to safeguard client data and ensure data retention and accessibility.

Failing to manage data risks can lead to significant penalties, reputational damage, and loss of client trust. As cyber threats evolve and data volumes grow, ongoing risk assessments are essential for staying ahead of potential breaches and regulatory scrutiny.

Arctera's Data Risk Assessment enables you to:

- Identify security risks before they turn into breaches.
- Ensure continuous compliance with regulations like GDPR, CCPA, SEC Regulation S-P, and FINRA Rule 4511.
- Optimize storage by eliminating stale, orphaned, or unnecessary data.

By identifying risks early, you can take immediate action to protect sensitive data, reduce exposure, and avoid costly fines or reputational damage. The longer you wait, the higher the stakes.

## How It Works: Simple, Fast, and Effective

Arctera's Data Risk Assessment leverages Arctera Insight Information Governance, a powerful tool that scans your entire file landscape—both on-premise filers and cloud repositories. It analyzes file metadata and uses a common classification engine to provide actionable intelligence, identifying risks and opportunities in your data.

By performing a Data Risk Assessment, you gain visibility into data that may otherwise be overlooked—helping you identify hidden risks and assets. With full content classification, the tool assesses the risk level or value of any given data asset, ensuring that no sensitive or valuable information is left unmanaged.

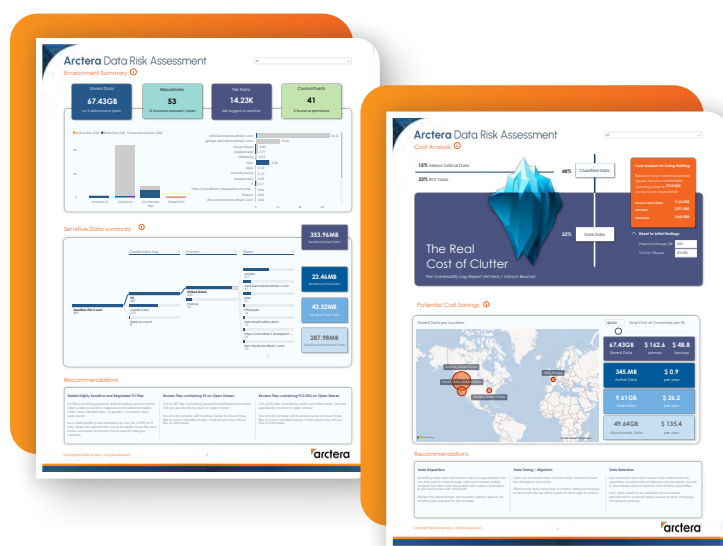


Figure 1: Sample of Data Aging Report

The Dark Data Assessment can be completed in three quick steps:



### 1. Identify and Configure Target Data

- Select a data source that reflects the types of data your organization stores, such as customer files or transaction records.
- Configure the source for access by our scanning tool, ensuring minimal disruption to operations.



### 2. Scan the Data Source

- Data Insight scans your data, gathering file metadata, user permissions, and access controls to uncover security gaps and risks.
- We monitor user activity to assess ownership, behavior, and potential exposure of sensitive data.
- Personally Identifiable Information (PII) is classified by risk level—e.g., date of birth or email is low risk, while credit card numbers are high risk. Dense PII (e.g., 10+ instances of high-risk PII) is flagged for priority investigation.



### 3. Generate Report with Actionable Insights

The scan generates a detailed report, highlighting:

- Inactive or unnecessary data.
- File types consuming the most storage.
- User and group permissions that may expose sensitive data.
- Sensitive data, including PII, and security vulnerabilities.

These insights empower you to make data-driven decisions that reduce risk, improve governance, and optimize storage costs—all while staying compliant with key regulations.

## How It Fits into Your Risk Management Framework

Arctera's Data Risk Assessment seamlessly integrates into your existing risk management strategy by providing insights to help you manage and mitigate data risks effectively. Here's how it helps:

- **Identify risks in real-time:** Scan your data for vulnerabilities and compliance gaps.
- **Act with confidence:** Use actionable insights to implement remediation strategies quickly.
- **Stay audit-ready:** Ensure your data management practices meet regulatory standards and compliance requirements, making you always prepared for audits and reviews.

**Make data risk management and ongoing priority.**

For more information and to get your assessment, visit: [inform.arctera.io/datariskassessment](https://inform.arctera.io/datariskassessment)

## About Arctera

Arctera, a business unit of Cloud Software Group, is the leading global provider of compliance and governance solutions that enable firms to unleash game-changing technologies into their organizations while minimizing risk. Created in 2024 from Veritas Technologies, Arctera helps the biggest companies in the world monitor and control exactly how their information is being accessed, used and shared. The Arctera Insight Platform is able to capture data from over 130+ different content sources, and more than 280 AI policies help firms streamline compliance and adapt to evolving regulations.



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